

## Hierarchy and fusion 2: Fusions with FLC

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# Outline

## 1 Two views of substitution

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- Finitely many tile types (prototiles)  $\{t_i\}$ .
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- “Substitution rule” for replacing  $L(t_i)$  with collection  $\sigma(t_i)$  of tiles: *1-supertile*.
- Extend  $\sigma$  to patches, tilings and tiling spaces.
- Tiling  $T$  is *admissible* if every patch is found in an  $n$ -supertile  $\sigma^n(t_i)$ .  $\Omega_T = \{\text{admissible tilings}\}$ .

## An alternate approach

- Look for admissible  $T$  such that  $\sigma(T) = T$ . “Self-similar” tiling.
- (May need to replace  $\sigma$  by power of  $\sigma$ .  $\sigma_{TM}$  has no fixed point, but  $\sigma_{TM}^2$  has four.)

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- In 1-d substitution literature, many authors study  $T$  rather than  $\Omega_T$ .
- I'm not one of them. Back to spaces!

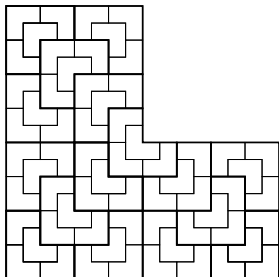
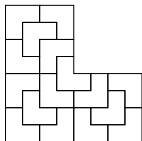
## What is an $(n+1)$ -supertile?

- $\sigma^{n+1}(t_i) = \sigma(\sigma^n(t_i))$ .
- To get an  $(n + 1)$ -supertile  $I_{n+1}$  of type  $i$ , start with an  $n$ -supertile  $I_n$  of type  $i$  and apply the substitution to each tile of  $I_n$ .



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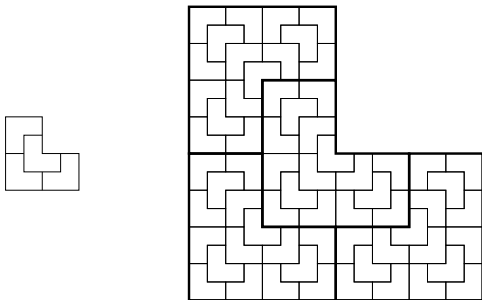
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## A 1D example

- Alphabet =  $\{a, b\}$ ,  $\sigma(a) = ab$ ,  $\sigma(b) = aaa$ .
- $M = \begin{pmatrix} 1 & 3 \\ 1 & 0 \end{pmatrix}$ , eigenvalues  $(1 \pm \sqrt{13})/2$ .

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- For *geometric* substitution need  $|b|/|a| = (\sqrt{13} - 1)/2$ .
- For *combinatorial* substitution can take  $|a|$ ,  $|b|$  arbitrary. (E.g.  $|a| = |b| = 1$ ).



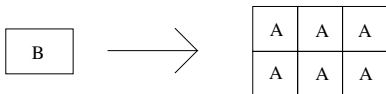
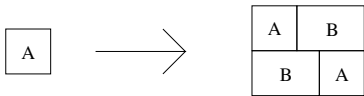
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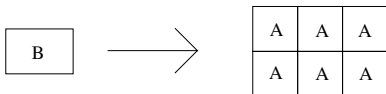
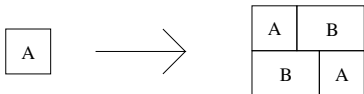
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- $\sigma(\text{word}) =$  concatenation of  $\sigma(\text{each letter})$ .
- History: Substitution *sequences* came long before substitution *tilings*. For Pisot substitutions, dynamics are the same for both. For other substitutions, they aren't.

## A 2D example



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- Direct product *variation* (DPV)
- For geometric substitution, need  $(B \text{ width}/A \text{ width}) = (\sqrt{13} - 1)/2$ ,  $A \text{ height} = B \text{ height}$ .  
 $L = \begin{pmatrix} (\sqrt{13}+1)/2 & 0 \\ 0 & 2 \end{pmatrix}$ . Tiling does not have FLC.
- For combinatorial substitution, can use unit squares.

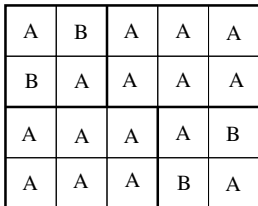
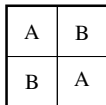
## Troubles with geometry

A
---

A	B
B	A

A	B	A	A	A
B	A	A	A	A
A	A	A	A	B
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Example is not really a substitution!

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- $\Omega_\sigma = \{ \text{all admissible tilings} \}$ .

## What stays the same?

- Fixed substitution matrix  $M$ .  $M_{ij}$  = number of  $n$ -supertiles of type  $i$  in each  $(n + 1)$  supertile of type  $j$ .
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- Perron-Frobenius theory.
- Can write  $\Omega_\sigma$  as inverse limit.
- Can compute cohomology using variants of Anderson-Putnam.

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- Must make assumptions about shapes of large supertiles (van Hove sequences).
- Spectral theory requires geometric data.

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## Revised rules of the game

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- For each  $n > 0$ , geometric rule for assembling each  $n$ -supertile from  $(n - 1)$ -supertiles.
- Transition matrices  $(M_{n,N})_{ij}$  saying how many  $I_n$ 's are in  $J_N$ .  
$$M_{n,N} = M_{n,n+1} M_{n+1,n+2} \cdots M_{N-1,N}.$$
- (Some choices of) Supertiles form van Hove sequence.

## Too much freedom

Every FLC tiling space is obtained from a fusion:

- Start with tiling  $T$  and space  $\Omega_T$ .
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- Hierarchy exists but is meaningless.
- To get *meaningful* results, must apply constraints.

## Other desirable conditions

- Recognizability: For each  $n$  there is a radius  $D_n$  s.t. if two tilings agree on  $B_{r+D_n}$ , then their supertiles of level  $n$  agree on  $B_r$ .
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- Non-periodicity. If  $T = t - x$ , then  $x = 0$ .
- Weak primitivity: For each  $n$  there is an  $N$  s.t. every  $N$ -supertile contains at least one of each  $n$ -supertile. (For each  $n$ ,  $\exists N$  s.t.  $M_{n,N}$  is primitive.)
- Strong primitivity: For each  $n$ , every  $(n+1)$ -supertile contains at least one of each  $n$ -supertile. (For each  $n$ ,  $M_{n,n+1}$  is primitive.)

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- Matrix boundedness:  $\sum_{ij} (M_{n,n+1})_{ij}$  is uniformly bounded.
- Transition-regularity:  $M_{n,n+1}$  is the same for every  $n$ .

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- Sacrifices matrix boundedness.

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- $\text{freq}_\mu(P) := \mu([P])$ .
- If  $\mu$  is ergodic,  $\mu$ -a.e.  $T$  has the property that

$$\lim_{r \rightarrow \infty} \frac{\#(P \in B_r)}{\text{Vol}(B_r)} = \text{freq}_\mu(P).$$

## Well-Defined Supertile Frequencies

- Define  $\rho_n(i) =$  frequency of  $n$ -supertile of type  $i$ .
- $0 \leq \rho_n(i)$ .

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- $\text{freq}(P) = \lim_{n \rightarrow \infty} \sum_i \#(P \in I_n) \rho_n(i)$

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- $\Delta_{n,N+1} \subset \Delta_{n,N}$ , since  $M_{n,N+1} = M_{n,N}M_{N,N+1}$ .

## Measures

- Every WDSP gives an invariant measure.
- Relative frequencies of  $n$ -supertiles in  $N$ -supertiles given by columns of  $M_{n,N}$ .
- Convex hull of columns gives simplex  $\Delta_{n,N} \subset \mathbf{RP}^{j_n-1}$ , where  $j_n = \#(n\text{-supertiles})$ .
- $\Delta_{n,N+1} \subset \Delta_{n,N}$ , since  $M_{n,N+1} = M_{n,N}M_{N,N+1}$ .
- Limiting simplex  $\Delta_{n,\infty}$  describes all possible  $n$ -supertile frequencies.
- Ergodic measures are vertices of simplex.

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- All tilings have the same naive patch frequencies.
- Warning: Unique ergodicity does *not* follow from primitivity or strong primitivity. Need control on transition matrices.

# A primitive 1D fusion tiling with two ergodic measures

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- $A_n = A_{n-1}^{10^n} B_{n-1}$   
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- $M_{n-1,n} = \begin{pmatrix} 10^n & 1 \\ 1 & 10^n \end{pmatrix}$ .
- $A_N$  has about 90%  $a$ 's and 10%  $b$ 's  
99%  $A_1$ 's and 1%  $B_1$ 's  
99.9%  $A_2$ 's and 0.1%  $B_2$ 's, etc.
- $B_N$  is the opposite.

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- Claim:  $Diam(\Delta_{n,N+1})/Diam(\Delta_{n,N}) \leq 1 - \delta(M_{N,N+1})$ .
- Corollary: If  $\sum_N \delta(M_{N,N+1})$  diverges, system is uniquely ergodic.
- In previous example,  $\sum_N \delta(M_{N,N+1}) \sim \sum 10^{-N} < \infty$ .

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# Primitivity Assumptions

- Fusion is strongly primitive.
- If only weakly primitive, use acceleration to make it strongly primitive.

## When is $\vec{\alpha}$ a topological eigenvalue?

- $\vec{\alpha} \in \mathbb{R}^n$  is top. e-vec iff,  $\exp(2\pi i \vec{\alpha} \cdot \vec{x})$  is continuous on  $\{T - \vec{x}\}$ .



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- To say more, need way to write arbitrary return vector as sum of standard pieces.

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- For strongly primitive *substitution* tilings,  $\vec{\alpha}$  is an eigenvalue iff,  $\forall v \in \mathcal{V}^0$ ,  $\lim_n \exp(2\pi i \lambda^n \vec{\alpha} \cdot \vec{v}) = 1$ .

## Sketch of proof

- Lemma: If  $\vec{x}$  and  $\vec{y}$  are corresponding points in  $n$ -supertiles in the same  $N$ -supertile, then  $\vec{y} - \vec{x} = \sum_{k=n}^{N-2} \vec{v}_k$ , for some  $\vec{v}_k \in \mathcal{V}^k$ .



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- If  $P$  is big,  $P$  contains an  $n$ -supertile, so  $\exists N$  s.t.

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- If  $P$  is big enough and  $\sum \eta_k$  converges,

$$|\exp(2\pi i \vec{\alpha} \cdot y) - \exp(2\pi i \vec{\alpha} \cdot x)| \leq \sum_{k=n}^{\infty} \eta_k(\vec{\alpha}) < \epsilon.$$

## Sketch of the converse

- For converse, pick  $v_k \in \mathcal{V}^k$  with  $|\exp(2\pi i \vec{\alpha} \cdot \vec{v}_k) - 1| = \eta_k(\vec{\alpha})$ .  
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- If  $P$  lies in an  $n$ -supertile, can arrange for for  
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 $\vec{y} - \vec{x} = v_n + v_{n+3} + v_{n+6} + \cdots + v_{n+3m}$  with  $m$  arbitrary.
- If  $\sum \eta_k(\vec{\alpha})$  diverges, no matter how big  $n$  is, can arrange for  
 $|\exp(2\pi i \vec{\alpha} \cdot y) - \exp(2\pi i \vec{\alpha} \cdot x)| > \epsilon$ .

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## Continuous vs. measurable eigenvalues

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- Not true for fusions!
- Scrambled Fibonacci tiling has pure point measurable spectrum, but *no* nonzero continuous eigenvalues.

# Ordinary Fibonacci

- 1D substitution on two letters.  $\sigma(a) = ab$ ,  $\sigma(b) = a$ .
- Denote  $n$ -supertiles as  $F_n(a)$  and  $F_n(b)$ .
- Rewrite as fusion:  $F_n(a) = F_{n-1}(a)F_{n-1}(b)$ ,  $F_n(b) = F_{n-1}(a)$ .

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- $M_0 = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\lambda = \phi = (1 + \sqrt{5})/2$ .
- Pick  $|a| = \phi$ ,  $|b| = 1$ . Then  $|F_n(a)| = \phi^{n+1}$ ,  $|F_n(b)| = \phi^n$ .  
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- Define  $A_n(a) = F_{N_n}(a)$ ,  $A_n(b) = F_{N_n}(b)$ .
- $M_{n,n+1} = M_0^{N_{n+1} - N_n}$ .

## Scrambled Fibonacci

- Tweak accelerated Fibonacci by introducing 3rd supertile type  $S_{2n+1}(c)$  at odd levels.
- $S_{2n+1}(a)$  and  $S_{2n+1}(b)$  are built from  $S_{2n}(a)$  and  $S_{2n}(b)$  in same way that  $A_{2n+1}$ 's are built from  $A_{2n}$ 's.
- $S_{2n+1}(c)$  has same population as  $S_{2n+1}(b)$ , except all  $S_{2n}(a)$ 's come before any  $S_{2n}(b)$ 's.  $S_{2n+1}(c)$  have very long periodic stretches.
- $S_{2n}(a)$  and  $S_{2n}(b)$  are built from  $S_{2n-1}(a)$  and  $S_{2n-1}(b)$  in same way that  $A_{2n}$ 's are built from  $A_{2n-1}$ 's, except replacing *one*  $S_{2n-1}(b)$  with  $S_{2n-1}(c)$ .  $S_{2n-1}(c)$ 's are *very* rare.

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- But  $S_{2n+1}(c)$  has  $\sim \phi^{N_{2n+1} - N_{2n}}$  consecutive  $S_{2n}(a)$ 's.
- Since  $N_{2n+1} - N_{2n} \gg N_{2n}$ , things get out of phase.

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- Define map:  $\Omega_{SF} \rightarrow \Omega_{Fib}$  by unscrambling supertiles.
- Run into trouble if origin is in an  $S_{2n+1}(c)$ , but can deal with finitely many exceptions.
- If  $\sum_n \phi^{N_{2n-1}-N_{2n}}$  converges, then probability that the origin is in  $E_{2n+1}(c)$  for infinitely many  $n$ 's is zero. Map is defined almost everywhere.

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- No! The vertices of scrambled Fibonacci are repetitive FLC, thanks to primitivity.
- The vertices have pure point diffraction spectrum, thanks to topological conjugacy to Fibonacci.
- The vertices do not have the Meyer property, so cannot be a model set.