

# Hierarchy and fusion 1: Substitutions

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# Outline

- 1 What is the world made of?
  - Types of matter
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- 6 Spectral Theory and Mixing

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# Fluids

- Gases and liquids have molecules bouncing around randomly.

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# Fluids

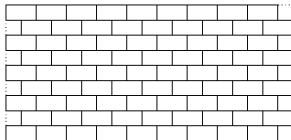
- Gases and liquids have molecules bouncing around randomly.
- You can't specify the behavior of any one molecule, but
- Large-scale properties (like pressure) are described by laws of probability.

# Crystals

Some solids are **crystals**. An arrangement of atoms repeats over and over again

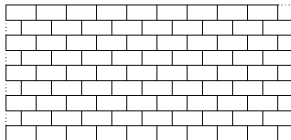
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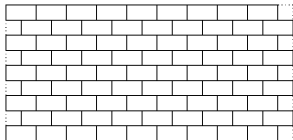
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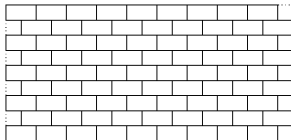
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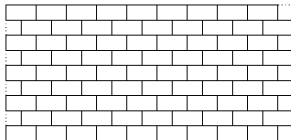


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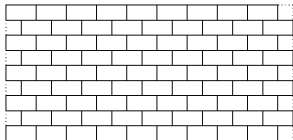
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- Nothing interesting happens at any scale larger than a brick.
- Move the pattern and get the exact same pattern again.
- We call this behavior “periodic” (aka “boring”).

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- Each ingredient might be a crystal, but
- The arrangement of ingredients is random.
- If you understand crystals and fluids, you understand mixtures.

What is the world made of?

Examples

Substitution tiling spaces

Primitivity, Recognizability and Nonperiodicity

Measure Theory

Spectral Theory and Mixing

Types of matter

Hierarchy

# Everything else

- Look around you!

# Everything else

- Look around you!
- Almost everything you see is made up of definite parts.
- Each part is made up of smaller parts.
- Smaller parts are made up of still smaller parts, etc.
- There is interesting structure at **many** different scales.
- An object with many levels of organization is called **hierarchical**



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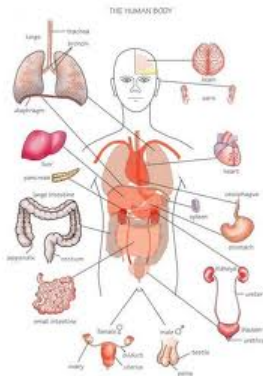
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# People are made of organs, tissues, and cells



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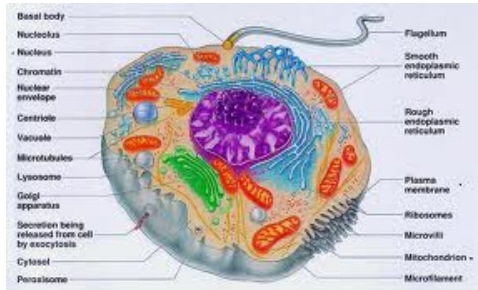
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# Cells are made from parts



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# Cell parts are made from proteins



Figure : A ribosome is made of proteins

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# Macromolecules are made from smaller molecules



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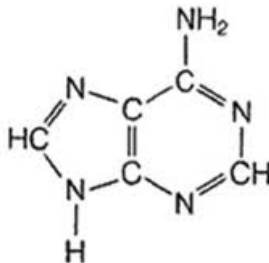
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# Molecules are made of atoms



**Adenine (A)**

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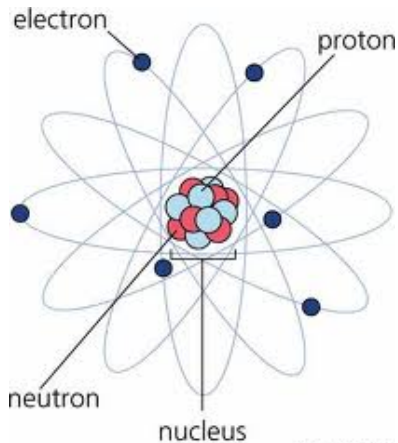
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# Protons, neutrons and electrons form atoms



Academy Artworks

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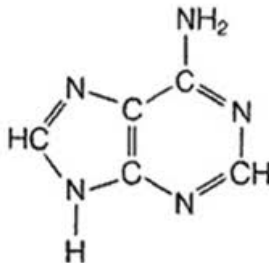
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# Macromolecules





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## Cell parts



Figure : A ribosome is made of proteins

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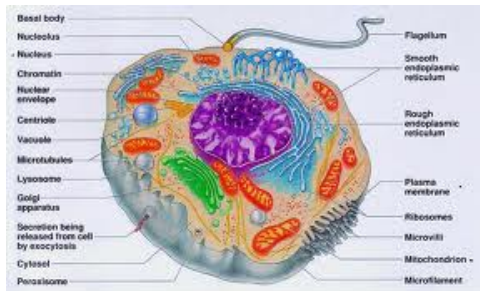
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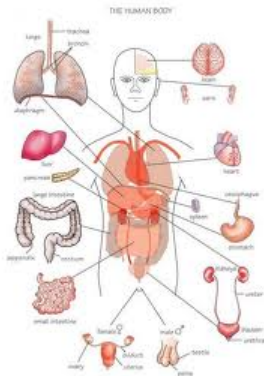
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# Tissues, organs and people



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# Counties, states and countries



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## Where does it end?



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## A 1D hierarchical pattern

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- Keep on building:  $a_{n+1} = a_n a_n b_n$ ,  $b_{n+1} = a_n b_n b_n$ .

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- Keep on building:  $a_{n+1} = a_n a_n b_n$ ,  $b_{n+1} = a_n b_n b_n$ .
- Pattern is “self-similar”, with structures of each size resembling those of the previous size. Assembly rule is the same at each stage.

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- Each cluster is of the form  $a(\text{something})b$ .

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- A  $b$  tile followed by  $a$  marks end of cluster.
- Label of middle tile marks type of cluster.

## Recovering the hierarchy

$\dots aab.aab.abb.aab.abb.abb.aab.abb.abb \dots$



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$\dots b_3 \dots$

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- If you move by less than  $3^n$ , new  $n$ -clusters will overlap with old  $n$ -clusters.
- There is structure at arbitrarily large length scales. No movement can preserve all of these structures.



# Fibonacci Sequences / Tilings

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- Two ways to think about  $\sigma^{n+1}(a)$  or  $\sigma^{n+1}(b)$ :
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- Substitution matrix  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ . Eigenvalues  $(1 \pm \sqrt{5})/2$ .

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- Substitution matrix  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ . Eigenvalues  $(1 \pm \sqrt{5})/2$ .
- Each  $a$  marks beginning of a 1-supertile.



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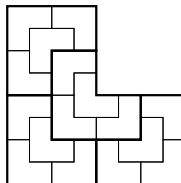
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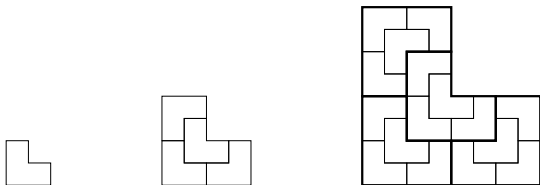
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- Substitution matrix  $\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$ . Eigenvalues 2, 0.
- Never see 3 consecutive  $a$ 's or  $b$ 's. Consecutive  $a$ 's or  $b$ 's always come from different supertiles.

# Chair Tilings





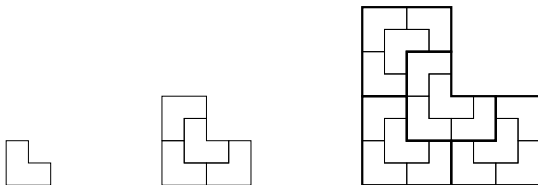
# Chair Tilings



- Substitution matrix  $\begin{pmatrix} 2 & 1 & 0 & 1 \\ 1 & 2 & 1 & 0 \\ 0 & 1 & 2 & 1 \\ 1 & 0 & 1 & 2 \end{pmatrix} = 2 + R + R^{-1}$ .

Eigenvalues 4, 2, 2, 0.

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Eigenvalues 4, 2, 2, 0.

- Tiles in “Swiss cross”  come from four different supertiles.

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# Tiling spaces

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- Two tilings are  $\epsilon$ -close if they agree, on  $B_{1/\epsilon}$  up to  $\epsilon$ -translation.
- Metric depends on choice of origin, but topology is translation-invariant. A sequence of tilings converges if its patches converge on all compact sets.

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- Metric depends on choice of origin, but topology is translation-invariant. A sequence of tilings converges if its patches converge on all compact sets.
- A *tiling space* is a collection of tilings that is
  - Invariant under translation, and
  - Closed in the tiling topology.

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- Closure  $\Omega_T$  of orbit is *continuous hull* of  $T$ , aka tiling space of  $T$ .

# Continuous hulls

- Start with reference tiling  $T$ .
- $\{T - x\}$  is *orbit* of  $T$  under translation.
- Closure  $\Omega_T$  of orbit is *continuous hull* of  $T$ , aka tiling space of  $T$ .
- $T' \subset \Omega_T$  iff every patch of  $T'$  is found somewhere in  $T$ .

# Ingredients of a substitution tiling space

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- Tiling space  $\Omega_\sigma$  is the set of all admissible tilings.

# Substitution as a map

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- $\sigma$  is continuous map  $T_\sigma \rightarrow T_\sigma$ .
- When is  $\sigma$  injective? Surjective? A homeomorphism?

# Surjectivity

## Theorem

*Under very mild assumptions<sup>\*</sup>,  $\sigma : \Omega_\sigma \rightarrow \Omega_\sigma$  is surjective.*

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If  $T \in \Omega_\sigma$  and  $r > 0$ ,  $B_r \cap T$  is found in some supertile, so  $\exists T_r$  s.t.  $T$  and  $\sigma(T_r)$  agree on  $B_r$ . By compactness, some subsequence of the  $\{T_r\}$  converge to  $T_\infty$ , and  $T = \sigma(T_\infty)$ .  $\square$

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\* Every tiling in  $\Omega$  must contain at least one tile of each type.

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## Primitive definitions

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- Hard theorem (Mossé, Solomyak): Non-periodicity implies recognizability.

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- Repeat as many times as necessary, until we are talking about small patches where we can prove things by hand.

## Primitivity implies repetitivity

- A tiling  $T$  is *repetitive* if for each patch  $P \in T$  there is a radius  $R(P)$  s.t. every ball of radius  $R(P)$  contains at least one copy of  $P$ .

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- A dynamical system is *minimal* if every orbit is dense.
- $\Omega_T$  is minimal if and only if  $T$  is repetitive.
- If  $\sigma$  is primitive, then  $\Omega_\sigma$  is minimal and every  $T \in \Omega_\sigma$  is repetitive.

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- Either way,  $\exists!$  invariant measure on  $\Omega_\sigma$ .



## Example: Fibonacci

- $\sigma(a) = ab, \sigma(b) = a, M = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ .
- $\lambda_{PF} = \phi := (1 + \sqrt{5})/2$ .
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- Right-eigenvector  $\begin{pmatrix} \phi \\ 1 \end{pmatrix}$  gives relative frequency of tiles:  $\phi$   $a$ 's for every  $b$ .
- Density of  $\sigma^n(a) = \phi^{1-n}/(2 + \phi)$ ; density of  $\sigma^n(b) = \phi^{-n}/(2 + \phi)$ .

## Find the density of $aaba$

The pattern  $aaba$  is found

- Once in each  $\sigma^4(a) = abaababa$ ,
- Zero times in  $\sigma^4(b) = abaab$ ,
- Once in each transition  $\sigma^4(a)\sigma^4(a)$ .
- Once in each transition  $\sigma^4(a)\sigma^4(b)$ .
- Once in each transition  $\sigma^4(b)\sigma^4(a)$ .
- Need densities of combinations of two 4-supertiles.

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- $\rho(aaba) = 2\rho(\sigma^4(A_1)) + 2\rho(\sigma^4(A_2)) + \rho(\sigma^4(B)) = \frac{\phi^{-5}(2\phi + 3)}{2 + \phi}$ .



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# Eigenfunctions

- For  $k \in (\mathbb{R}^n)^*$ , look for functions  $f : \Omega_\sigma \rightarrow \mathbb{C}$  with  $f(T - x) = \exp(2\pi i k \cdot x) f(T)$ .
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- If  $f$  is continuous,  $k$  is a continuous eigenvalue.
- **Theorem:** (Queffelec, Solomyak?) If  $\sigma$  is a primitive substitution, all measurable eigenvalues are continuous.

## Return vectors

Generalization of “return times” for 1D dynamics.

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- E.g. for 1D substitutions, just look at displacement between successive  $a$  tiles.

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- (More general substitutions can allow  $\lambda$  to be in “Pisot family”.)

## Example: Thue-Morse

$\sigma(a) = ab, \sigma(b) = ba, M = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \lambda = 2$ . L-eigenvector  $(1, 1)$   
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- Discrete spectrum is  $\frac{1}{h} \mathbb{Z}[1/N]$ .

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- Substitution maps  $\Gamma \rightarrow \Gamma$ .

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- All endpoints are identified.  $\Gamma$  is a figure-8.
- $\sigma$  sends vertex to itself,  $b$  loop to  $a$  loop, and  $a$  loop to  $a$ -followed-by- $b$ .



## Inverse limits

Let  $X$  be a space and  $f : X \rightarrow X$  a surjective continuous map.

- $\varprojlim(X, f)$  is the set of sequences  $x_1, x_2, \dots$  (or sometimes  $x_0, x_1, \dots$ ) s.t. each  $x_i = f(x_{i+1})$ .

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- Topologize as subset of  $\prod_Z X$ . Two sequences are  $\epsilon$ -close if they agree, up to  $\epsilon$ , on first  $1/\epsilon$  terms.
- Knowing  $x_n$  tells you  $x_{n-1}, \dots, x_1$ , but not  $x_{n+1}$ . The  $n$ -th copy of  $X$  is called the *n*th approximant to  $\varprojlim(X, f)$ .

## Dyadic solenoid

Let  $X$  be a circle. Think of  $n$ -th copy  $X_n$  as  $\mathbb{R}/(2^n\mathbb{Z})$ . Map  $f$  wraps circle around self twice. (See board)

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- If substitution “forces the border”, this determines a complete tiling.
- If  $\sigma$  is any substitution, rewriting with collared tiles makes it force the border.

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- Example: For Fibonacci,  $H^1(\Gamma) = \mathbb{Z}^2$ , and  $\sigma^*$  acts by  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ . Direct limit is  $\mathbb{Z}^2$  since matrix is invertible.

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